

Transport for the North – Audit & Governance Committee Agenda

Meeting: Transport for the North Audit & Governance Committee
Date: Friday 22 November 2019, 11:00am - 1:00pm
Venue: Kramer Suite, Leeds Town Hall, The Headrow, Leeds, LS1 3AD

Attendees:

Chris Melling (Chair)	Independent Member
Cllr Keith Little (Vice-Chair)	Cumbria County Council
Kevin Brady	Independent Member
David Pevalin	Independent Member
Cllr Chris Brewis	Lincolnshire County Council
Cllr Liam Robinson	Liverpool City Region

Invitees:

Gary Bogan	Director, Rail North Partnership – Item 6
Chris Whittingham	External Audit, Mazars
Karen Murray	External Audit, Mazars
Alex Hire	Internal Audit, RSM UK
David Morris	Internal Audit, RSM UK
Margaret Jackson	Observer, Department for Transport
Louise Maqsood	Observer, Department for Transport
Dominique Dawodu	Sponsor Team, Department for Transport

Officers:

Iain Craven	Finance Director
Gareth Sutton	Financial Controller
Julie Openshaw	Head of Legal
James Lyon	Legal Department
Haddy Njie	Risk Manager

Apologies:

Cllr Simon Blackburn	Blackpool Council
Cllr Mark Winnington	Staffordshire County Council

Nr	Agenda Item	Sponsor
1.0	Welcome and Apologies The Chairman to welcome members to the meeting.	Chair
2.0	Declarations of Interest (V) Members are required to declare any personal, prejudicial or disclosable pecuniary interest they may have relating to items on the agenda and state the nature of such interest.	Chair
3.0	Minutes from the Previous Committee (R) To consider the minutes from the meeting held on 10 July 2019 and, if thought fit, to approve as a correct record.	Chair
4.0	Internal Audit Progress Report (R) To note the report from RSM Risk Assurance Services To update on progress of NPR governance review.	DM
5.0	External Audit Progress Report (R) To note the report from Mazars.	CW
6.0	Budget Revision 2 & Mid-Year Treasury Management Update (R / P) To note the financial performance over the first half of the financial year and the subsequent revised budget.	GS
7.0	Rail North Partnership Franchise Assets (R) How franchise assets are managed by the Rail North Partnership.	GB
8.0	Corporate Governance Review – preparation of the annual Governance Statement (R) To advise of the corporate governance review and the preparation of the Annual Governance Statement for the financial year 2019/2020.	GS / DD
9.0	Exclusion of the Press and Public (V) To resolve that the public be excluded from the meeting during consideration of the following items of business because it is likely that, in view of the nature of the	Chair

	business to be transacted or the nature of proceedings, there will be disclosure of confidential information as defined in s100A(2) of the Local Government Act 1972 (as amended) and exempt information as defined in paragraph 3 of Part 1 of Schedule 12A of the Local Government Act 1972 (as amended).	
10.0	Risk Review (R / P) To highlight the significant risks associated with Transport for the North's ongoing transformational programmes. To update on the progress of IST Phase 3.	HN / IC
11.0	Any Other Business	All

R = Report; P = Presentation; V = Verbal