

Audit and Governance Committee - Minutes

Meeting: Audit and Governance Committee
Date: 10 July 2019
Venue: Kramer Room, Leeds Town Hall, The Headrow, Leeds, LS1 3AD

Attendees:

Chris Melling (Chair)	Independent Member
Cllr Keith Little – (Vice Chair)	Cumbria County Council
Kevin Brady	Independent Member
Cllr Liam Robinson	Liverpool City Region
Cllr Mark Winnington	Staffordshire County Council

Invitees:

Karen Murray	External Audit, Mazars
Jordan Fenney	Internal Audit, RSM UK
Dominique Dawodu	Observer, Department for Transport
Margaret Jackson	Observer, Department for Transport

Transport for the North Officers:

Iain Craven	Finance Director
Gareth Sutton	Financial Controller
Pippa Ambrose	Project Manager, IST Phase 1
Haddy Njie	Risk Manager
Deborah Dimock	Solicitor
Kevin Williams	Solicitor

Apologies:

Cllr Simon Blackburn	Blackpool Council
Chris Whittingham	External Audit, Mazars
David Pevalin	Independent Member
Cllr Chris Brewis	Lincolnshire County Council
Louise Masqood	Observer, Department for Transport

1.0 Welcome and Apologies

1.1 **Apologies**
Apologies for absence were duly noted.

1.2 **Quorum**
It was noted that the meeting was quorate.

2.0 Declarations of Interest

2.1 There were no declarations of interest.

3.0 Minutes from the Previous Committee

3.1 The minutes of the Committee held on 13 June 2019 were considered.

RESOLVED: To approve the minutes of the Audit and Governance Committee held on 13 June 2019 as a correct record.

4.0 Internal Audit Action Log

4.1 The Action Log contained some minor updates from the previous meeting.

RESOLVED: To note the report as presented.

5.0 Internal Audit Progress Report

5.1 Jordan Fenney stated that there were no completed audit reviews to bring to the attention of the Committee. He noted that the Treasury Management audit had commenced on 3 July and was undergoing standard quality assurance process with RSM. No issues were raised.

RESOLVED: To note the report as presented.

6.0 Exclusion of the Press & Public

RESOLVED: To resolve that the public be excluded from the meeting during consideration of the following items of business because it is likely that, in view of the nature of the business to be transacted or the nature of proceedings, there will be disclosure of confidential information as defined in s100A(2) of the Local Government Act 1972 (as amended and exempt information as defined in paragraph 3 of Part 1 of Schedule 12A of the Local Government Act 1972 (as amended)).

NB: No members of the Press & Public present.

7.0 IST Phase 1 – expenditure control and monitoring of assets

7.1 [redacted]

7.2 [redacted]

7.3 [redacted]

7.4 [redacted]

7.5 [redacted]

RESOLVED: [redacted]

8.0 Risk Management Update

8.1 [redacted]

8.2 [redacted]

8.3 [redacted]

8.4 [redacted]

8.5 [redacted]

8.6 [redacted]

8.7 [redacted]

8.8 [redacted]

8.9 [redacted]

RESOLVED: [redacted]

RESOLVED: [redacted]

9.0 External Audit Progress Report

9.1 [redacted]

9.2 [redacted]

9.3 [redacted]

9.4 [redacted]

9.5 [redacted]

RESOLVED: [redacted]

NOTE At this point, members of the Press and Public could be readmitted to the meeting. No members of the Press or Public were present.

10.0 Year End Statutory Accounts

10.1 Gareth Sutton presented the updated accounts which were taking into account the pension deficit reported in Item 9.2. Subject to the outcome of the work currently being undertaken by the actuaries, Transport for the North may need to adjust the position as it currently stands.

10.2 Otherwise, the accounts that were proposed for acceptance were substantially consistent with those presented at the Committee meeting on 20 June. Cllr Winnington asked that the position on IST be monitored throughout the year. It was agreed that this would be done through the ongoing processes of the Committee.

10.3 The Chair thanked Transport for the North officers and the external auditors for their efforts in getting to this point.

RESOLVED: The committee recommended the approval of the accounts at Board.

11.0 Next Committee Date

RESOLVED: The committee requested greater advance knowledge of committee dates and the date of the next meeting was agreed as Friday 20 September in Manchester (exact venue TBC).

RESOLVED: Further meeting dates covering the 2019 / 2020 financial year to be circulated asap.